

Exhibit A

**CLAIM FOR LOCAL TRANSPORTATION FUNDS**

TO: PLACER COUNTY TRANSPORTATION PLANNING AGENCY  
299 NEVADA STREET, AUBURN, CA 95603

FROM:

CLAIMANT: City of Rocklin  
ADDRESS: 3970 Rocklin Rd  
Rocklin CA 95677

CONTACT PERSON: Daniel Choe, Deputy Director of Administrative Services  
Phone:916-625-5024 Email:Daniel.Choe@rocklin.ca.us

The City of Rocklin hereby requests, in accordance with the State of California Public Utilities Code, commencing with Section 99200 and the California Code of Regulations commencing with Section 6600, that this claim for Local Transportation Funds be approved for Fiscal Year 2022/23, in the following amounts for the following purposes to be drawn from the Local Transportation Fund deposited with the Placer County Treasurer:

P.U.C. 99260a, Article 4, Transit Operations:	<u>\$ 0</u>
P.U.C. 99260a, Article 4, Transit Capital:	<u>\$0</u>
P.U.C. 99275, Article 4.5, Community Transit Services	<u>\$0</u>
P.U.C. 99400a, Article 8a, Local Streets and Roads	<u>\$5,545,874</u>
P.U.C. 99402, Article 8a, Transportation Planning Process	<u>\$18,750</u>
P.U.C. 99400c, Article 8c, Contracted Transit Services:	<u>\$21,863</u>
P.U.C. 99400e, Article 8e, Capital for Contracted Services:	<u>\$0</u>
C.C.R. 6648, Capital Reserve:	<u>\$0</u>

When approved, this claim will be transmitted to the Placer County Auditor for payment. Approval of the claim and payment by the County Auditor to the applicant is subject to such monies being available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved annual financial plan and budget. Claimant must submit a complete Fiscal and Compliance Audit for the prior fiscal year prior to issuance of instructions to the County Auditor to pay the claimant in full.

APPROVED:  
PLACER COUNTY  
TRANSPORTATION PLANNING AGENCY  
BOARD OF DIRECTORS

APPLICANT:  
City of Rocklin

BY: \_\_\_\_\_ BY: \_\_\_\_\_  
(signature) (signature)

TITLE: \_\_\_\_\_ TITLE: \_\_\_\_\_

DATE: \_\_\_\_\_ DATE: \_\_\_\_\_

**CLAIM FOR STATE TRANSIT ASSISTANCE FUNDS**

TO: PLACER COUNTY TRANSPORTATION PLANNING AGENCY  
299 NEVADA STREET, AUBURN, CA 95603

FROM: CLAIMANT: City of Rocklin  
ADDRESS: 3970 Rocklin Rd  
Rocklin CA 95677

CONTACT PERSON: Daniel Choe, Deputy Director of Administrative Services  
Phone: 916-625-5024 Email: Daniel.Choe@rocklin.ca.us

The City of Rocklin hereby requests, in accordance with the State of California Public Utilities Code commencing with Section 99200 and the California Code of Regulations commencing with Section 6600, that this claim for State Transit Assistance be approved in the amount of \$666,719 for Fiscal Year 2022/23 , in the following amounts for the following purposes to be drawn from the State Transit Assistance fund deposited with the Placer County Treasurer:

Transit Operations (6730a):	<u>\$0</u>
Transit Capital (6730a):	<u>\$0</u>
Contracted Transit Services (6731b):	<u>\$666,719</u>
Community Transit Services Provided by WPCTSA (6731.1):	<u>\$0</u>

When approved, this claim will be transmitted to the Placer County Auditor for payment. Approval of the claim and payment by the County Auditor to the applicant is subject to such monies being available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved annual financial plan and budget.

APPROVED:  
PLACER COUNTY  
TRANSPORTATION PLANNING AGENCY  
BOARD OF DIRECTORS

APPLICANT:  
City of Rocklin

BY: _____	BY: _____
(signature)	(signature)
TITLE: _____	TITLE: _____
DATE: _____	DATE: _____

## TDA ANNUAL PROJECT AND FINANCIAL PLAN

This form will show the planned expenditures of all TDA funds claimed for the fiscal year in addition to any TDA funds carried over from previous years. Briefly describe all operational, capital and/or streets and roads projects which will be funded by TDA moneys. **Please show BOTH prior year TDA funds (if any) and current year TDA funds to be used**, provide the total cost of each project, and indicate all other sources of funding associated with each project. For capital projects, the projects listed, and their associated costs and funding sources should be consistent with the budget developed in the TDA Claim Worksheet completed for the submittal of this claim. The total project cost and total funding source(s) listed below should balance for each project. See attached sample plan for additional guidance.

Claimant: City of Rocklin

Fiscal Year: FY 2022/23

<u>Brief Project Description</u>	<u>Project Cost</u>	<u>Source of Funding &amp; Amount</u>
Purchase of Transit Contract Services FY 22/23	\$810,755	Carryover 6/30/22 Fund Balance \$122,173 STA FY 22/23 \$666,719 LTF Article 8c FY 22/23 \$21,863
Streets and Road Capital Projects FY 22/23 (details in approved CIP)	\$1,660,000	Carryover 6/30/22 Fund Balance \$4,509,213 LTF Article 8a FY 22/23 \$5,564,624
Streets and Roads Maintenance FY 22/23	\$1,869,300	
Streets and Roads Payroll & Admin FY 22/23	\$438,900	Interest Earnings \$5,000
Transportation Planning FY 22/23	\$18,750	
Early FY 23/24 Cap Projects & Payroll	\$6,091,887	
<b>Total Streets and Roads</b>	<b>\$10,078,837</b>	<b>Total Streets and Roads \$10,078,837</b>

**CLAIM FOR BICYCLE AND PEDESTRIAN FUNDS**

TO: PLACER COUNTY TRANSPORTATION PLANNING AGENCY  
299 NEVADA STREET, AUBURN, CA 95603

FROM:

CLAIMANT: City of Rocklin  
ADDRESS: 3970 Rocklin Rd  
Rocklin CA 95677

CONTACT PERSON: Daniel Choe, Deputy Director of Administrative Services  
Phone: 916-625-5024 Email: Daniel.Choe@rocklin.ca.us

The City of Rocklin hereby requests, in accordance with the State of California Public Utilities Code, AS AMENDED (Chapter 3, Section 99234), that this claim for Bicycle and Pedestrian funds in the amount of \$70,000 be approved for Fiscal Year 2022/23 , to be drawn from the Bicycle and Pedestrian Trust Fund.

When approved, this claim will be transmitted to the Placer County Auditor for funds to be reserved. Jurisdictions will receive payment as reimbursement of funds expended in implementing bicycle and pedestrian projects. Approval of the claim and payment by the County Auditor to the applicant is subject to such monies being available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved annual financial plan and budget.

APPROVED:  
PLACER COUNTY  
TRANSPORTATION PLANNING AGENCY  
BOARD OF DIRECTORS

APPLICANT:  
City of Rocklin

BY: _____	BY: _____
(signature)	(signature)
TITLE: _____	TITLE: _____
DATE: _____	DATE: _____

## BICYCLE AND PEDESTRIAN PROJECT DESCRIPTION AND FINANCIAL PLAN

Briefly describe the project for which you are applying for Bicycle / Pedestrian Funds. Also, identify all funding sources related to the project. The total project cost and total funding source(s) listed below should balance for each project.

Include a location map for the project as appropriate.

Claimant: City of Rocklin

Fiscal Year: FY 2022/23

<u>Brief Project Description</u>	<u>Project Cost</u>	<u>Source of Funding &amp; Amount</u>
Sunset Whitney Recreation Area Trail (SWRA) - Curb/Gutter/Sidewalk/Drainage improvements	\$70,000	LTF Chapter 3 Section 99234 \$70,000

**PLACER COUNTY TRANSPORTATION PLANNING AGENCY  
TRANSPORTATION DEVELOPMENT ACT CLAIM WORKSHEET**

FISCAL YEAR: 2022/23

CITY/COUNTY OF: City of Rocklin

**Part 1 of 4**

**ESTIMATED PUBLIC TRANSIT REVENUES AND EXPENSES FOR FISCAL YEAR  
2021/22**

**I. FY 2021/22 AVAILABLE RESOURCES**

<b>A. Carryover from prior fiscal year</b> (Unexpended prior year transit cash receipts held in claimants treasury as of June 30, 2021. From TDA Financial Audit Report)	\$	-
<b>B. Interest Earnings through June 30, 2021.</b>	\$	-
<b>C. Federal Grants &amp; Reimbursements received in 2021/22:</b>		
1. FTA Planning Assistance	\$	-
2. FTA Operating Assistance	\$	-
3. FTA Capital Assistance	\$	-
4. Other (list) _____	\$	-
<b>D. State Grants (Source/Amount):</b>	\$	-
<b>E. Local Cash Grants:</b>		
1. LTF-Operations (PUC 99260a; Article 4)	\$	-
2. LTF-Capital (PUC 99260a; Article 4)	\$	-
3. LTF-Community Transit Services(PUC 99275; Article 4.5)	\$	-
4. LTF-Contracted Transit Service (PUC 99400c; Article 8c)	\$	157,360.00
5. LTF-Capital Reserve Contribution (CCR 6648)	\$	-
6. LTF-Capital expenses for contracted transit services (PUC 99400e; Article 8e)	\$	-
7. STAF-Operations (CCR 6730a)	\$	-
8. STAF-Capital (CCR 6730b)	\$	-
9. STAF-Community Transit Services (CCR 6730d; <CTSA>)	\$	-
10. STAF-Contracted Service (CCR 6731b)	\$	555,395.00
11. SGR-Capital (CCR 6730b)	\$	-
12. Other (list) _____	\$	-
<b>F. Operating Revenues:</b>		
1. Passenger Fares	\$	-
2. Charters	\$	-
3. Other (list) _____	\$	-
<b>G. Other Revenues</b>	\$	-
<b>H. TOTAL FY 2021/22 AVAILABLE RESOURCES (A+B+C+D+E+F+G)</b>	\$	<b>712,755.00</b>

**II. FY 2021/22 PROJECTED EXPENSES & USES**

<b>I. Personnel</b>	
1. Administrative Salaries and Wages	\$ -
2. Operating Salaries and Wages	\$ -
3. Other Salaries and Wages	\$ -
4. Fringe Benefits	\$ -
<b>J. Services and Supplies</b>	
1. Professional Services	\$ -
2. Maintenance Services	\$ -
3. Other Services	\$ -
4. Vehicle Materials & Supplies	\$ -
5. Utilities	\$ -
6. Insurance	\$ -
7. Purchased Transit Services	\$ 590,582.00
8. Miscellaneous	\$ -
9. Interest	\$ -
10. Leases & Rentals	\$ -
<b>K. Capital Assets (Itemize)</b>	
1.	\$ -
2.	\$ -
3.	\$ -
4.	\$ -
5.	\$ -
<b>L. Other Uses:</b>	
Capital Outlay Reserve Contribution (CCR 6648)	\$ -
<b>M. TOTAL FY 2021/22 EXPENSES &amp; USES (I+J+K+L)</b>	<b>\$ 590,582.00</b>
<b>N. Estimated Deferred Revenue as of June 30, 2022 (H-M)</b>	<b>\$ 122,173.00</b>

**BUDGETED PUBLIC TRANSIT REVENUES & EXPENSES FOR FISCAL YEAR  
2022/23**

**I. FY 2022/23 NON-TDA BUDGETED RESOURCES & DEFERRED REVENUE**

<b>A. Carryover from prior fiscal year</b> (Unexpended prior year transit cash receipts held in claimants treasury as of June 30, 2022-- From Part 1, line N)	\$	122,173.00
<b>B. Interest earnings through June 30, 2022</b>	\$	-
<b>C. Federal Grants &amp; Reimbursements</b>		
1. FTA Planning Assistance	\$	-
2. FTA Operating Assistance	\$	-
3. FTA Capital Assistance	\$	-
4. Other (list) _____	\$	-
<b>D. State Grants (Source/Amount):</b>		
1.	\$	-
2.	\$	-
<b>E. Local Non-TDA Cash Grants:</b>		
1.	\$	-
2.	\$	-
3.	\$	-
<b>F. Operating Revenues:</b>		
1. Passenger Fares	\$	-
2. Charters	\$	-
3. Other (list) _____	\$	-
<b>G. Other Revenues</b>		
1.	\$	-
<b>H. TOTAL FY 2022/23 CARRYOVER &amp; NON-TDA BUDGETED RESOURCES (A+B+C+D+E+F+G)</b>	<b>\$</b>	<b>122,173.00</b>

<b>I. TOTAL FY 2022/23 CARRYOVER &amp; NON-TDA BUDGETED RESOURCES (From Line H)</b>		<b>\$ 122,173.00</b>
<b>II. FY 2022/23 PROJECTED EXPENSES &amp; USES</b>		
<b>J. Personnel:</b>		
1. Administrative Salaries and Wages	\$	-
2. Operating Salaries and Wages	\$	-
3. Other Salaries and Wages	\$	-
4. Fringe Benefits	\$	-
<b>K. Services and Supplies:</b>		
1. Professional Services	\$	-
2. Maintenance Services	\$	-
3. Other Services	\$	-
4. Vehicle Materials & Supplies	\$	-
5. Utilities	\$	-
6. Insurance	\$	-
7. Purchased Transit Services	\$	810,755.00
8. Miscellaneous	\$	-
9. Interest	\$	-
10. Leases & Rentals	\$	-
<b>L. Capital Assets (Itemize):</b>		
1.	\$	-
2.	\$	-
3.	\$	-
4.	\$	-
5.	\$	-
<b>M. Other Uses:</b>		
1. Capital Outlay Reserve Contribution.(CCR 6648)	\$	-
2.	\$	-
<b>N. TOTAL FY 2022/23 EXPENSES &amp; USES (J+K+L+M)</b>	<b>\$</b>	<b>810,755.00</b>
<b>O. Unfunded Balance (I - N)</b>	<b>\$</b>	<b>(688,582.00)</b>

<b>O. Unfunded Balance (I - N)</b>	<b>\$ (688,582.00)</b>
<b>III. FY 2022/23 TDA TRANSIT CLAIMS</b>	
<b>P. FY 2022/23 LTF TRANSIT CLAIMS:</b>	
1. LTF-Operations (PUC 99260a; Article 4)	\$ -
2. LTF-Capital (PUC 99260a; Article 4)	\$ -
3. LTF-Community Transit Services (PUC 99275; Article 4.5)	\$ -
4. LTF-Contracted Transit Service (PUC 99400c: Article 8c)	\$ 21,863.00
5. LTF-Capital Reserve Contribution (CCR 6648)	\$ -
6. LTF-Capital for contracted transit service (PUC 99400e; Article 8e)	\$ -
<b>7. TOTAL LTF CLAIM (P1+P2+P3+P4+P5)</b>	<b>\$ 21,863.00</b>
<b>Q. FY 2022/23 STAF CLAIMS:</b>	
1. STAF-Operations (CCR 6730a)	\$ -
2. STAF-Capital (CCR 6730b)	\$ -
3. STAF-Community Transit Services (CCR 6730d) / CTSA	\$ -
4. STAF-Contracted Service (CCR 6731b)	\$ 666,719.00
<b>5. TOTAL STF CLAIM (Q1+Q2+Q3+Q4)</b>	<b>\$ 666,719.00</b>
<b>R. FY 2022/23 SGR CLAIMS:</b>	
1. SGR-Capital (CCR 6730b)	\$ -
<b>2. TOTAL SGR CLAIM (R1)</b>	<b>\$ -</b>
<b>S. TOTAL 2022/23 TRANSIT CLAIMS (P6 + Q5 + R2)</b>	<b>\$ 688,582.00</b>

**ESTIMATED STREETS AND ROADS TDA EXPENDITURES FOR FISCAL YEAR  
2021/22**

**I. FY 2021/22 AVAILABLE TDA STREET AND ROAD RESOURCES**

<b>A. Carryover from prior fiscal year</b> (Actual Unexpended Prior Year TDA Streets And Roads Cash Receipts Held in Claimant's Treasury as of June 30, 2021. From TDA Fiscal Audits)	\$ 2,348,346.00
<b>B. FY 2021/22 TDA Cash Receipts from LTF trust fund for streets and roads purposes (PUC 99400a).</b>	\$ 5,609,746.00
<b>C. Interest Earned on claimant TDA streets and roads cash balances through June 30, 2022.</b>	\$ 8,080.84
<b>D. Total FY 2021/22 Available TDA Street and Road Resources. (A+B+C)</b>	\$ 7,966,172.84

**II. FY 2021/22 TDA STREET AND ROAD EXPENDITURES**

<b>E. Administration and Engineering</b>	\$ 423,236.00
<b>F. Maintenance</b>	\$ 1,870,789.88
<b>G. Construction</b>	\$ 1,163,558.53
<b>H. Equipment</b>	\$ -
<b>I. Other</b>	\$ (625.00)
<b>J. TOTAL FY 2021/22 EXPENDITURES (E+F+G+H+I)</b>	\$ 3,456,959.41
<b>K. Estimated Carryover of TDA Street and Road Revenues at June 30, 2022 (D-J)</b>	\$ 4,509,213.43

**STREETS AND ROADS TDA BUDGET FOR FISCAL YEAR 2022/23**

<b>I. FY 2022/23 AVAILABLE TDA STREET AND ROAD RESOURCES</b>	
<b>A. Carryover as of June 30, 2022 (From Part 3, Line K.)</b>	<b>\$ 4,509,213.43</b>
<b>B. 2022/23 TDA Funds Available For Streets And Roads</b>	
1. FY 2022/23 LTF Total Apportionment (From PCTPA)	\$ 5,586,487.00
2. FY 2022/23 LTF Transit Claim (From Part 2, Line P6)	\$ 21,863.00
3. Balance of 2022/23 LTF Apportionment (B1-B2)	\$ 5,564,624.00
4. FY 2022/23 LTF Apportionment To be Claimed for Streets and Roads Purposes Pursuant to PUC 99400a. (Can Not Exceed Line B3)	\$ 5,564,624.00
<b>C. FY 2022/23 Estimated Interest Earned on TDA Cash Balances through June 30, 2023.</b>	<b>\$ 5,000.00</b>
<b>D. Total Estimated FY 2022/23 Available TDA Resources. (A+B4+C)</b>	<b>\$ 10,078,837.43</b>

<b>II. FY 2022/23 ESTIMATED EXPENDITURES</b>	
<b>H. Administration and Engineering</b>	<b>\$ 438,900.00</b>
<b>I. Maintenance</b>	<b>\$ 1,869,300.00</b>
<b>J. Construction</b>	<b>\$ 1,660,000.00</b>
<b>K. Equipment</b>	<b>\$ -</b>
<b>L. Transportation Planning Process (P.U.C. 99402)</b>	<b>\$ 18,750.00</b>
<b>M. Other</b>	<b>\$ -</b>
<b>N. Total FY 2022/23 Estimated Expenditures (H+I+J+K+L+M)</b>	<b>\$ 3,986,950.00</b>
<b>O. Estimated Carryover as of June 31, 2023 (D-N)</b>	<b>\$ 6,091,887.43</b>

# ANNUAL VERIFICATION OF TDA COMPLIANCE TO ACCOMPANY LTF AND STA CLAIMS FOR TRANSIT / STREETS AND ROADS PURPOSES

## ***PART I – ALL CLAIMANTS***

1. Date annual TDA fiscal and compliance audit was approved by PCTPA Board:  
Click or tap to enter a date.
2. Is the claimant's retirement system fully funded?  
 YES  
 NO
3. Is the claimant using the maximum Federal funds available for transit and/or streets/roads purposes?  
 YES  
 NO

## ***PART II – TRANSIT CLAIMANTS***

4. Date Transit Operator's Financial Transaction Report was submitted to State Controller's Office: Click or tap to enter a date. Attach copy of dated, signed cover sheet from report.
5. Are public transit vehicles routinely staffed with one driver?  
 YES  
 NO (Explain) **N/A – Transit Service purchased through Contract**
6. Has the proposed transit operating budget changed by more than 15% compared to the previous year?  
 Yes (Explain) Click or tap here to enter text.  
 NO **N/A – Transit Service purchased through Contract**
7. Did the transit operator meet its minimum farebox recovery requirement during the previous fiscal year? (requirement: 15% - Roseville; 12.94% - Placer County; 10% - Auburn)<sup>1</sup>  
 YES  
 NO (see below) **N/A – Transit Service purchased through Contract**

If the farebox recovery requirement was not met, then claimant must complete the following worksheet for the most recent fiscal year.

<sup>1</sup>Assembly Bill 90, 2019-20 [Reg. Session] temporarily prohibits the penalty for non-compliance with farebox recovery ratio requirement during FY 2019-20 and 2020-21. The claimant should still identify whether the requirement was met. AB-149 of 2021 extends the non-compliance relief through FY 2022/23.

Transit Operating Expenses:	enter text.	+	
Capital Purchases/Reserves:	enter text.	=	LTF spent in most
Subtotal:	enter text.	-	recent fiscal year
Federal Revenues:	enter text.	=	cannot exceed
STA Revenues:	enter text.	-	result below.
Total:	enter text.	* 0.5=	enter text.

8. Is there a prohibition on the employment of part-time drivers or on contracting with common carriers?

YES

NO (Explain) **N/A – Transit Service purchased through Contract**

9. Are STA funds being used for transit operating purposes this fiscal year?

YES (see below)

NO

If STA funds are being used for transit operating purposes, indicate which efficiency standard was met. In calculating the operating cost, operators may exclude costs that exceed prior year costs, as adjusted by the CPI. *Notes: (1) Use the STA Qualifying Criteria worksheet contained in the TDA Claim workbook to determine eligible exclusions. (2) These items may also be excluded when computing the farebox recovery ratio. (3) You may refer to operating cost figures from TDA fiscal audits for the applicable fiscal year.*

Efficiency Standard #1:  Yes  No<sup>2</sup>

Efficiency Standard #2:  Yes  No<sup>2</sup>

If neither efficiency standard was met, list the percentage of STA Funding limited to:

Capital Expenditures: Enter %% Operating Expenditures: Enter %.%

10. Describe or attach current fare structure:

**N/A – Transit Service purchased through Contract**

11. Attach copy of latest CHP terminal inspection report.

12. Each transit claimant must report on efforts to implement recommendations included in the FY 2018/19 through FY 2020/21 Triennial Performance Audit, which was completed in 2022 (attach additional pages as necessary).

**N/A – Transit Service purchased through Contract**

<sup>2</sup>Assembly Bill 90, 2019-20 [Reg. Session] temporarily prohibits the penalty for non-compliance with required STA efficiency standards during FY 2019-20 and 2020-21. The claimant should still identify whether the standards was met. AB-149 of 2021 extends the non-compliance relief through FY 2022/23.

**TRANSIT OPERATORS'  
FINANCIAL TRANSACTIONS REPORT  
COVER PAGE**

**Rocklin**

Reporting Year: **2021**

ID Number: **14983173800**

**Certification:**

I hereby certify that, to the best of my knowledge and belief, the report forms fairly reflect the financial transactions of the agency in accordance with the requirements as prescribed by the California State Controller.

*Daniel Choe*

TQM90VxgYc05v...

Signature

Fiscal Officer

Title

Daniel Choe

Name (Please Print)

1/21/2022

Date

Per Public Utilities Code Section 99243(a), this report is due within seven months after the end of each fiscal year. The report shall contain underlying data from audited financial statements prepared in accordance with generally accepted accounting principles, if this data is available.

Those agencies providing a service to the general public and an exclusive service to the handicapped or elderly must file a separate report for each type of service.

If submitted manually, please complete, sign, and mail this cover page to either address below:

Mailing Address:  
State Controller's Office  
Local Government Programs and Services Division  
Local Government Reporting Section  
P.O. Box 942850  
Sacramento, CA 94250

Express Mailing Address:  
State Controller's Office  
Local Government Programs and Services Division  
Local Government Reporting Section  
3301 C Street, Suite 700  
Sacramento, CA 95816

The Financial Transactions Report was successfully submitted to the State Controller's Office on 1/21/2022 8:44:01 AM